THE DAVIS FINANCIAL GROUP LLC

Financial Planning Questionnaire

To protect personal information, please do not email completed worksheets.

Please prioritize your current Financial Planning points of emphasis/objectives to help us build and schedule our planning work together. Here are some ideas and prompts:

Cash Flow and Budgeting:

Do you feel that you are in control of your spending and your general cash flow – do you think that what comes in and what goes out are in or out of balance?

Are there any major expenditures or purchases on the horizon? For example, new car, vacation home, remodeling or home improvements projects, travel, education, health care, or dentistry?

Is there an inheritance or gifting for yourself and/or your family in the near or more distant future that could impact your planning?

Protection and Insurance:

Are you satisfied that you and your family, if you have one, are protected from risks associated with personal and legal liability, disability, premature death, and the need for long term care?

Do you have a plan for who will care for you when you are not able to care for yourself?

Investments and Retirement:

Are your investments allocated in a manner consistent with your age, circumstances, and general risk tolerance profile? Are you satisfied that your investments are working as hard for you as you have worked to accumulate the assets?

Are your investments aligned with your environmental, social, and governance values? Is aligning your investments with your values a goal during our planning work together?

What does “retirement” mean to you? Do you have thoughts about early retirement? Phased retirement? Working in retirement?

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Investments and Retirement:

Are you thinking about Downsizing? Refinancing? Home Equity financing? Reverse Mortgage? Moving to a Retirement community? Naturally occurring residential community? Co-housing?

Have you applied for Medicare or Social Security? Do you have questions or concerns about these? Do you feel you are on top of the best strategies for receiving benefits?

If you have children, or others you are close to, are there new or continuing physical or emotional special needs that could create present or future financial or legal demands on you that require planning?

Income Taxes:

If you have a tax advisor, to what extent are you satisfied that they take advantage of all available deductions and tax reduction strategies?

Are you taking advantage of tax reduction strategies and maximizing contributions to retirement plans and other vehicles?

Philanthropy and Charity:

Do you have or would you like to develop a philanthropic or charitable giving plan?

Do you have causes and/or organizations you are passionate about and/or involved in that you would like to support in a more strategic fashion?

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Estate Planning and Legacy:

Have you specified or thought about who will serve as your Executor/Personal Representative, your Power of Attorney, your Health Care Proxy?

Do you feel that all of your legal and estate documents are readily available and organized for your own use, and for the convenience of your successors or representatives?

Would you be interested in learning about an "Ethical Will" or a "Legacy Letter" that provides a way for you to live on after you are gone, and that shares important messages and information with who is important to you?

Do you have a plan for mitigating estate federal and state level inheritance taxes through strategies such as life-time giving and irrevocable life insurance trusts?

Are there family/inter-generational issues related to money that would benefit from some processing, or mediation/intervention?

Please list your highest priority goals and areas of emphasis for our planning work together:

A

B

C

D

E

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Do you have other thoughts to share?

Client Signature ____________________ Date __________

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